



# Molecular modeling and computational study of the chiral-dependent structures and properties of the self-assembling diphenylalanine peptide nanotubes, containing water molecules

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## Abstract

DFT (VASP) and semi-empirical (HyperChem) calculations for the L- and D-chiral diphenylalanine (L-FF and D-FF) nanotube (PNT) structures, empty and filled with water/ice clusters, are presented and analyzed. The results obtained show that after optimization, the dipole moment and polarization of both chiral type L-FF and D-FF PNT and embedded water/ice cluster are enhanced; the water/ice cluster acquire the helix-like structure similar as L-FF and D-FF PNT. Ferroelectric properties of tubular water/ice helix-like-cluster obtained after optimization inside L-FF and D-FF PNT and total L-FF and D-FF PNT with embedded water/ice cluster are discussed.

**Keywords** Diphenylalanine · Peptide nanotube · Water molecules · Molecular modeling · DFT · Semi-empirical methods · Self-assembly · Polarization · Chirality

## Introduction

Self-assembly of complex molecular structures based on amino acids (AA) is one of the most important phenomena both in living nature and in artificial biomimetics [1, 2]. At the same time, the chirality of the initial molecules also plays an

important role in self-assembly processes [3, 4]. All this is important both for our understanding of wildlife and the basic principles of the emergence of life, and for numerous practical applications [5–7], including for biomedicine and targeted drug delivery [8, 9].

A necessary research approach here is computer molecular modeling of the processes of self-organization of molecular systems at the different levels and by different methods [10–12]. All AA have their own dipole moments [13], which interact with each other and self-organize into more complex molecular and crystalline structures, such as peptide nanotubes (PNT) and similar nanostructures [14]. Many of these structures have piezoelectric and ferroelectric properties [15–20]. This has been shown and investigated in detail in our work [20–26]. Self-assembly of such PNT occurs in aqueous media rather quickly and under certain conditions that affect the rate of their growth, the shape of self-organizing structures, and their structural and physical properties turn out to be significantly dependent on the chirality of the original molecules of amino acids and dipeptides [25–28]. In many cases, water molecules also appear in the internal hydrophilic cavity of such PNT [25–32]. They affect the physical properties of PNT and largely determine their changes. However, the experimental detection of water molecules by

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X-ray diffraction methods is very difficult. It is computer simulation methods that play an important role in the identifying as hydrogen atoms (protons) and these water molecules [33] and establishing their structural and physical properties, the effect on the properties of PNT as a whole. Computer molecular modeling allows one to calculate, investigate, and predict the basic physical properties of these nanostructures based on various AA.

In this paper, we focus on the further study of the structural and physical (including polar and ferroelectric-like) properties of PNTs based on FF (FF PNTs) of both different chirality L-FF and D-FFs and having embedded molecular clusters of water in the PNT's internal hydrophilic cavity. An optimum possible number of water molecules per one unit cell of the D-FF and L-FF hexagonal crystal structures (and for the D-FF and L-FF PNTs with minimum 2 coils of the PNT helix structure [21, 22, 24], corresponding to the period of a hexagonal unit cell along *c*-axis) were determined. The influences of these water molecules on the PNT's properties are analyzed, including a change in the dipole moments and polarization of the PNTs, as well as a change in the structure and properties (dipole moment and polarization) of water clusters embedded in a PNT cavity of both chirality types.

In all calculations, the quantum semi-empirical AM1, PM3, RM1 methods in the Hartree-Fock approximations (from HyperChem package [34]) are used. The initial structural data of D-FF and L-FF from the crystallographic database [35] were taken, and the DFT methods (in VASP program [36]) are applied, taking into account the van der Waals interactions (VdW correction by "PBE + D3" method). The results in comparison with known and experimental data are analyzed.

## Initial models and main computational details

All main calculations were carried out, firstly, using density functional theory (DFT), as implemented by the Vienna Ab initio Simulation Package (VASP) [36–38]. The exchange–correlation potential was evaluated using the generalized gradient approximation (GGA) according to Perdew, Burke, and Ernzerhof (PBE) [39, 40]. Core states were described by means of the projector augmented wave method [41], while the Kohn–Sham problem (when calculating the energies of the ground states of systems) was addressed by using plane waves with kinetic energy up to  $E_{\text{cut}} = 400$  eV to expand the wave functions.

In the calculations of self-assembled systems based on amino acids, it is also necessary to take into account the Van der Waals (VdW) interactions. Usual local and semilocal density functionals are unable to describe correctly Van der Waals interactions resulting from dynamical correlations between fluctuating charge distributions. A useful pragmatic method to work around this problem is to add a correction to the

conventional Kohn–Sham DFT energy. In this paper, we have chosen a fairly reliable method for VdW correction named as D3, developed by Grimme, Stephan Ehrlich, and Helge Krieg [42]. This method is well compatible with PBE and is implemented in VASP (PBE + D3).

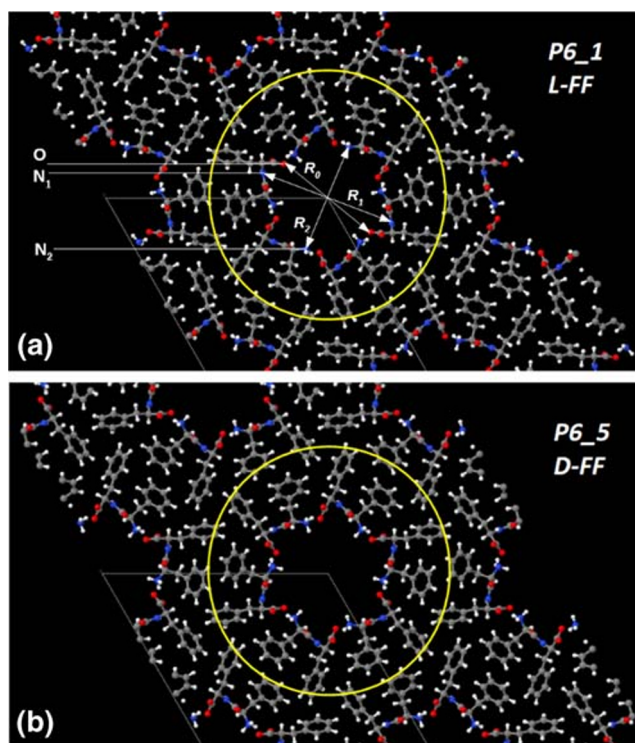
In this paper, further study of diphenylalanine peptide nanotubes is based on the models constructed from experimental data of their crystallographic structures obtained by X-ray methods and recorded in the crystallographic database of the Cambridge Crystallographic Data Center (CCDC) [35]. These data correspond to no. CCDC 16337 for L-FF [29] and No. CCDC 1853771 for D-FF [21, 25]. These structural data and visual models of both PNT L-FF & D-FF structures are easily reproduced in accordance with their periodic crystallographic cell parameters (Table 1) in the source files of modeling and calculations based on DFT methods in the VASP program [36] (Fig. 1).

The initial and water-free crystal structures of both L-FF and D-FF PNT types are shown on Fig. 1. The anhydrous and non-centrosymmetric hexagonal unit cell for both enantiomers crystal structures contains six FF molecules, and it is formed by a total of 258 atoms, while the space groups of these enantiomers are different:  $P6_1$  space group for L-FF and  $P6_5$  for D-FF. These initial crystal configurations were obtained from X-ray experimental CCDC data [35]. In all cases, the Brillouin zone (BZ) was sampled using a Monkhorst–Pack [44] scheme with a  $1 \times 1 \times 3$  mesh *k*-point sampling. The Hartree-Fock exact exchange was evaluated using the same *k*-point grid computed for the DFT potential. This enables a real-space grid of  $120 \times 120 \times 28$  points to keep along *a*1, *a*2, and *a*3 lattice vectors, respectively, which corresponds to the experimental lattice constants  $a_1 = a_2 = a = b \sim 24$  Å and  $a_3 = c \sim 5.44$  Å of the D-FF crystal structures data (Table 1). The relevant grid density appears to be about 5 points/Å along all the three directions.

The relaxation (optimization of the total energy) of both initial structures was carried out and the same procedure was also performed for all cases of simulated structures with different numbers of water molecules in the cavity of the inner channel of the nanotubes.

**Table 1** Lattice cell parameters for L-FF and D-FF PNT (from [21, 25, 29] according to CCDC [35])

|                             | L-FF        | D-FF        |
|-----------------------------|-------------|-------------|
| Temperature                 | 150 K       | 140 K       |
| Space group                 | $P6_1$      | $P6_5$      |
| <i>a</i> , Å                | 24.0709(13) | 23.9468(14) |
| <i>b</i> , Å                | 24.0709(13) | 23.9468(14) |
| <i>c</i> , Å                | 5.4560(4)   | 5.4411(2)   |
| <i>V</i> , Å <sup>3</sup>   | 2737.7(3)   | 2702.2(2)   |
| <i>D</i> , g/m <sup>3</sup> | 1.299       | 1.281       |



**Fig. 1** Images of structures based on the Cambridge Crystallographic Data Center (CCDC) for two different FF enantiomers with symmetry elements (obtained using Jmol after performing VASP calculations) for cases: **a** L-FF with space group  $P6_1$  and **b** D-FF with space groups  $P6_5$ . Hexagonal cells are marked by thin lines. Selected atoms and molecules for the formation of PNT are shown by a circle in yellow lines. Atoms are marked with colors: oxygen, red; nitrogen, blue; carbon, gray; hydrogen, white. The upper figure **a** shows the distances in the inner cavity:  $R_0$ , between the oxygen atoms  $O...O$ ;  $R_1$ , between the nitrogen atoms  $N_1...N_1$  (larger “far” diameter PNT);  $R_2$ , between the nitrogen atoms  $N_2...N_2$  of the opposing  $NH_3^+$  groups (short “near” inner diameter PNT) [43].

The relaxation cycle was stopped when the maximum force acting on lattice vectors and ions became less than  $10 \text{ meV}/\text{\AA}$ .

The influence of water molecules on D-FF and L-FF PNT properties was studied with the use of the hexagonal *Ih* ice cluster model [45] which served as the basis for the construction of the initial model of a water cluster with different numbers  $n$  of water molecules (in the case of small  $n \cdot (H_2O)$  water clusters with  $n = 2, 4, 6$ , etc., only a short part of such *Ih* ice cluster was used). The model clusters constructed were introduced into the cavity of the original anhydrous nanotubes, as was done in our earlier work [22]. Then, the whole D-FF and L-FF PNT structures, filled with this embedded water/ice cluster with  $n$  water molecules, were optimized, keeping the lattice parameters  $a$ ,  $b$ , and  $c$ , of the initial nanotubes constant (Table 1). This is necessary to obtain a correlation with the initial experimental data. The obtained optimized structures with different numbers of water molecules in their cavity were collected and stored for further analysis of their parameters and visual control using different methods.

To estimate the energy of water molecule interaction with PNT and that of water binding inside PNT for each optimized structure with different quantities of water molecules  $n$ , we calculated a change in the total energy as the number of water molecules (average binding energy per FF unit cell as a function of the number of water molecules) increased:

$$E_b = E_{tot} - E_{PNT} - n \cdot E(H_2O) \quad (1)$$

where  $E_{tot}$  is the total energy per unit cell for the optimized PNT structure with water molecules,  $E_{PNT}$  is the energy of the optimized PNT structure without water molecules,  $E(H_2O)$  is the energy of a relaxed single water molecule  $H_2O$ , and  $n$  is the number of  $H_2O$  molecules used in the calculation.

To determine changes in the main distances ( $R_0$ ,  $R_1$ ,  $R_2$ ) inside the PNT cavity after relaxation and optimization of all the structures, we use Jmol software tool for visual presentation of all the structures extracted after calculations. For extraction of all atomic files and transformation of their formats, the OpenBabel and Cyberduck software tools were used too.

To obtain the values of the dipole moment, cluster volume, and polarization of the computed and extracted molecular clusters (both L-FF and D-FF structures with and without water and individual extracted water clusters), the HyperChem package [34] was used, with various quantum-mechanical semi-empirical AM1, PM3, RM1 methods (mainly in restricted Hartree-Fock (RHF) approximation) and some others necessary software tools.

## Results and discussion

To identify the presence of water molecules and find their number, the PNT structures (both D-FF and L-FF chirality) with different numbers of water molecules were calculated and optimized. As result, optimized PNT models containing different number of water molecules in the inner cavity of the PNTs were obtained, and the dependence of PNT properties on the number of water molecules was studied.

Note that optimizing L-FF PNT with water is concerned with difficulties since these structures are less stable compared to D-FF PNT. This also agrees with previously obtained data: D-FF nanotubes have a denser and stronger deep packing than L-FF PNT [21, 24, 25]; L-FF PNT have larger cavity sizes, with looser and less uniform surface of the internal cavity compared to D-FF PNT [24, 26]. It was necessary to vary some parameters and methods of optimization procedure in VASP, to obtain the most stable and suitable optimized structure. These calculations require more time to proceed on a computer cluster. Nevertheless, finally, it was possible to obtain a good number of optimized structures for both D-FF and L-FF (albeit with slightly variations in their structural parameters, but sufficient to estimate reliably the effect of different



amounts of water molecules on the properties of the nanotubes) and choose the better ones for further analysis.

As a result, the dependences of the average binding energy  $E_b$  (1) per one unit cell FF as a function of the number of water molecules  $n$  were obtained for both types of structures L-FF and D-FF PNTs, convincingly showing that the minimum of this energy  $E_b$  is observed for  $n = 21$  (Fig. 2). The peculiarity of the behavior of these dependences, the values, and position of the minimum of energies turned out to be similar to the data [32] and are comparable in magnitudes (see Fig. 3 in [32]).

The calculated mean binding energy  $E_b$  is the energy of an interaction between the water molecules and the inner wall of the nanotube through hydrogen bonds (HBs) [46, 47]. The formation of numerous hydrogen bonds (a network of hydrogen bonds) between water molecules and the nearest hydrophilic oxygen and nitrogen atoms on the inner surface of the nanotube cavity (including with  $\text{NH}_3^+$  groups) was found in all the optimized structures obtained for nanotubes of both types of chirality L-FF and D-FF. This is also clearly demonstrated by the HyperChem-built images of optimized structures extracted from the VASP (as shown Figs. 4, 5, and 6 and discussed in greater detail below).

These results are also confirmed by the calculated dependences of the internal diameters of the nanotube cavity, determined by the distances between the main nitrogen atoms  $\text{N}_1$ ,  $\text{N}_2$ :  $R_1(\text{N}_1\text{--}\text{N}_1)$  and  $R_2(\text{N}_2\text{--}\text{N}_2)$  (determined in Fig. 1a). As the number of water molecules  $n$  increases, the size of the inner PNT cavity changes for both types of chirality L-FF and D-FF. Comparing calculated and experimental values of  $R_1$  and  $R_2$ , we can see that when the number of molecules is equal to  $n = 21$ , the calculated curves intersect with the experimental values, which are according

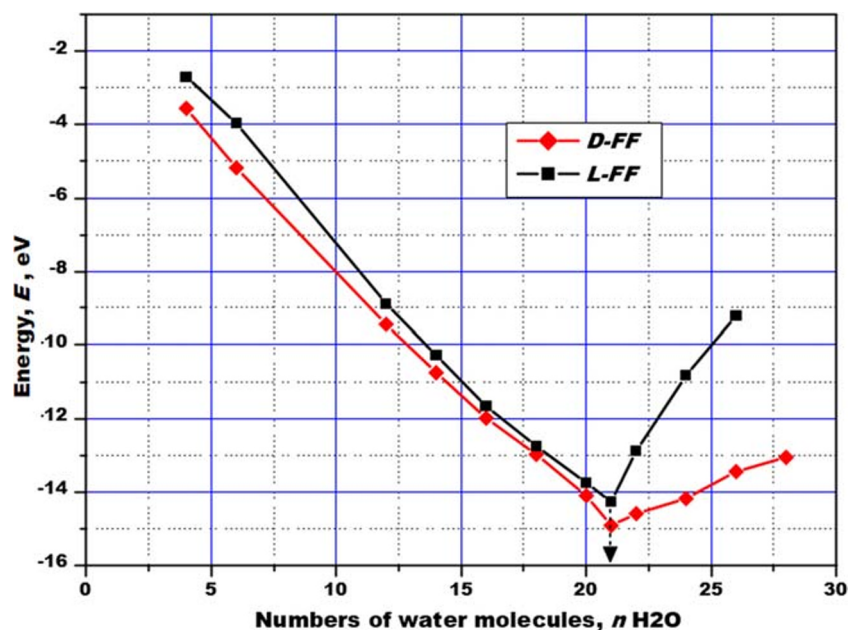
to X-ray structural data for the initial nanotubes (data in Table 2), for both L-FF and D-FF PNTs.

These graphs (Fig. 3a, b) show that  $n = 21$ , the obtained curves of the dependencies of the internal dimensions of the cavities of the optimized structures of the nanotubes of both chiral types, coincides in very close sizes variations with the experimental data.

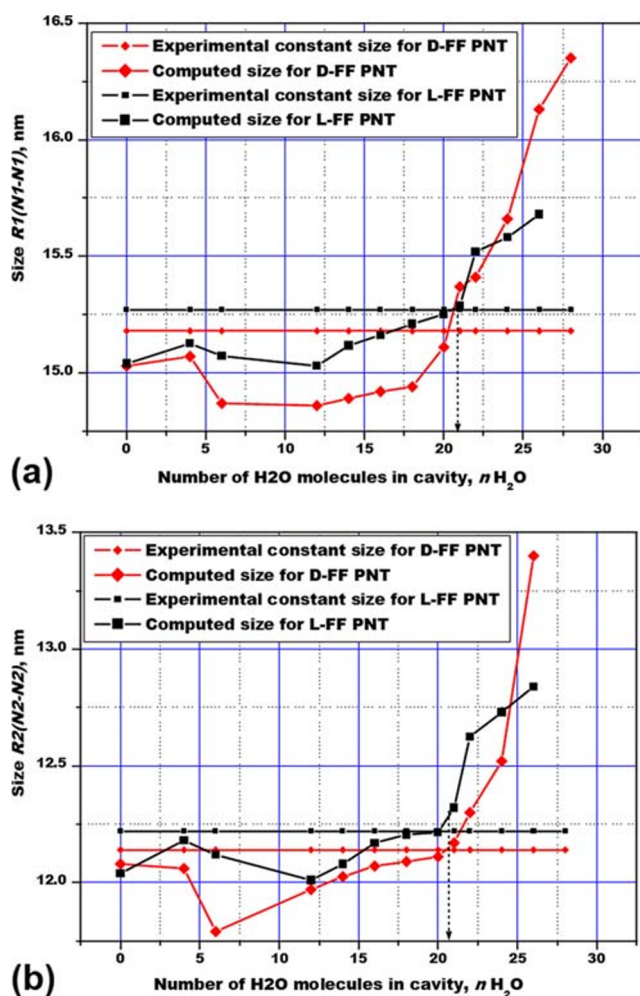
As a result, it can be argued that in the L-FF and D-FF cavities of both types of chirality, there are about  $n = 21$  water molecules per unit cell of these FF periodic molecular crystal structures.

Preliminary analysis showed that in all cases, water-ice clusters after optimization in the internal cavity of both types L-FF and D-FF PNT change their structure and properties, but of the greatest interest is the case with the found amount of 21 water molecules, which is corresponding to the minimum total energy of both types of chirality. Extraction of the optimized water cluster (with 21  $\text{H}_2\text{O}$  molecules) from the inner cavity shows that this cluster has changed compared to the initial hexagonal ice structure and acquired a helix-like structure, close to the helix, which is typical for D-FF PNTs per se (see on Figs. 7, 8, and 9 and discussion below). Moreover, a water cluster splits into an inner part and an outer part, and the latter actively forms hydrogen bonds with the atoms of nitrogen and oxygen of the inner surface of the PNT cavity. It turns out also that after optimization, water molecules in the outer part (close to the inner PNT surface of the cavity) are located approximately in the middle between the layers of FF molecules. These data are partly confirmed by recent studies using the dielectric spectroscopy [48]. All these data need more deep and detailed analysis.

**Fig. 2** Average binding energy  $E_b$  as a function of number of water molecules confined in the hydrophilic channel of FF PNT for each chirality types: L-FF and D-FF



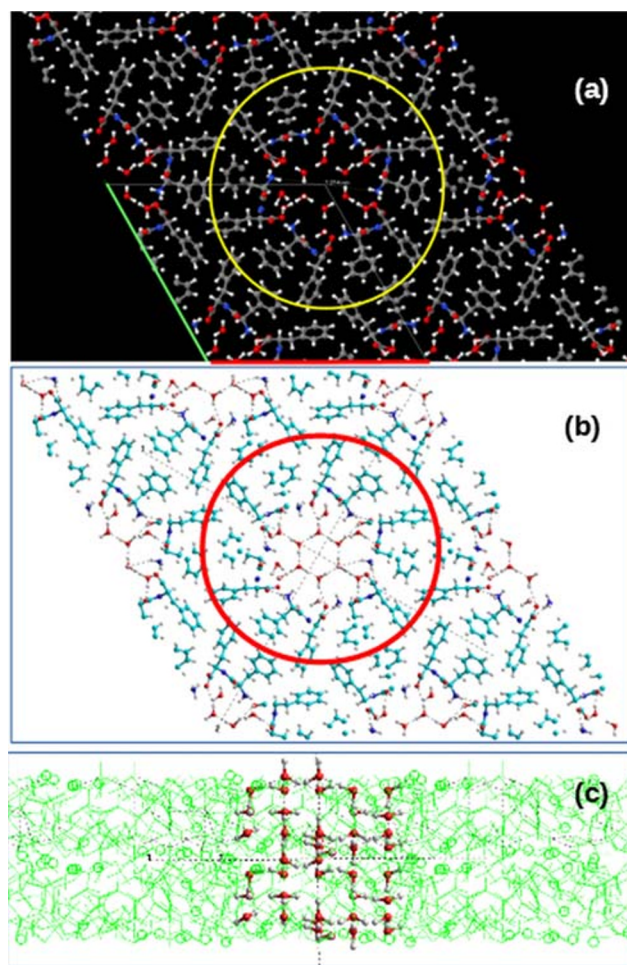




**Fig. 3** Dependences of the internal cavity sizes of the optimized structures of nanotubes of both types of chirality L-FF and D-FF containing a different number of water molecules on the number  $n$  of these water molecules, in comparison with the known sizes of the internal sizes of nanotubes according to experimental data [Table 2] [25, 29, 35]. **a**  $R_1$ , between the nitrogen atoms  $N_1...N_1$  (larger “distant” diameter PNT); **b**  $R_2$ , between the nitrogen atoms  $N_2...N_2$  of the opposite  $NH_3^+$  groups (short “near” inner diameter PNT)

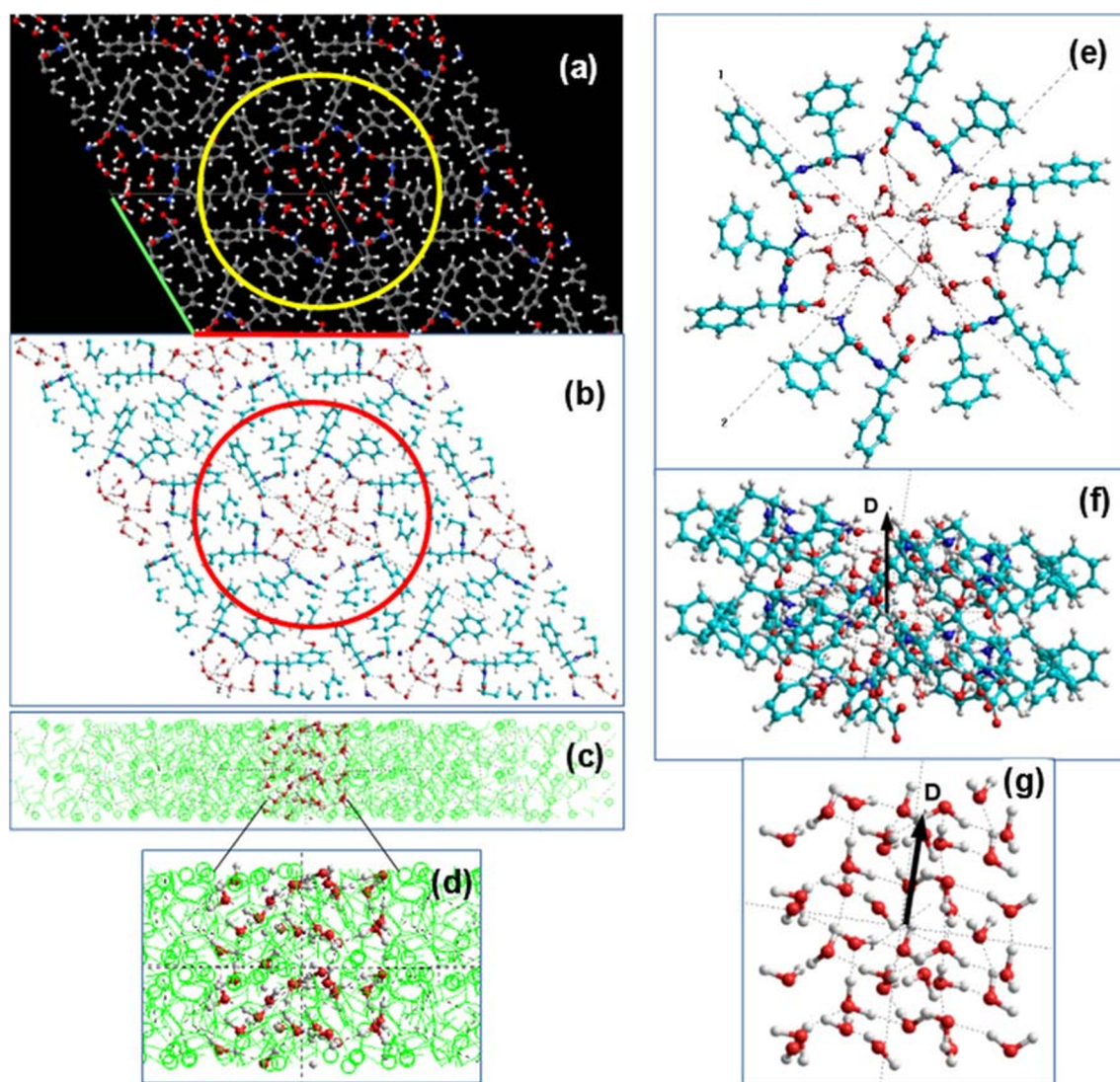
### Water cluster structures details

Let us consider the structures of water clusters obtained with 21  $H_2O$  molecules per a unit cell in greater detail. In this case, the initial water cluster based on the hexagonal *II* ice cluster models and consisting of 21  $H_2O$  molecules per a unit cell is presented in Fig. 4 for the case of D-FF PNT. A similar initial structure is used for L-FF PNT. During the optimization process (using the VASP program, as described above), the structure of water clusters changes—there is a displacement of water molecules inside the cavity under the influence of an electric field inside the cavity (arisen from fixed FF dipoles, which create total strong polarization here and, accordingly, a strong electric field arises along the axis of the nanotube [20–25]). As noted above, we optimize only the structure of



**Fig. 4** Schematic images of the initial water cluster embedded into the inner hydrophobic hollow cavity of the D-FF PNT: **a** top Z-projection images consisting from 4 unit cells of D-FF crystal structure obtained using Jmol from VASP initial data before optimization calculations (green and red lines marked the unit cell; yellow circle shows the selected atoms and molecules that form the PNT with cavity in the center filled with water cluster molecules; the colors of atoms are the same as of Fig. 1); **b** the same Z-projection images converted from VASP to HyperChem workspace using Cyberduck and OpenBabel software (red circle shows the same selected atoms and molecules that form the PNT with cavity in the center filled with water cluster molecules; the colors of atoms here are as follows: carbon is cyan, red is oxygen, white is hydrogen); **c** side Y-projection and cross-section of D-FF structures with selected water molecules formed initial water cluster [43].

the water cluster inside a PNT cavity, keeping fixed (frozen) atomic positions of all the atoms of all the FF molecules and the unit cell parameters of the PNT crystal structures. In this case, a rearrangement of hydrogen bonds occurs both between water molecules and between water molecules and FF molecules (in particular, with nitrogen and oxygen atoms of FF molecules on the inner hydrophilic surface of the nanotube’s cavity). This happens both in D-FF PNT and in L-FF PNT, but in different ways in accordance with their different internal structure and chirality. As a result, we get two altered and significantly different structures of water clusters after



**Fig. 5** Schematics of the D-FF PNT structures with optimized 21H<sub>2</sub>O per unit cell embedded water cluster (the designations used are the same as in Fig. 4 above): **a** Z-projection image from VASP computed data with 4 unit cell; **b** the same image converted into HyperChem workspace; **c** side Y-projection and cross-section of D-FF structures with selected water molecules formed initial water cluster; **d** inset with biggest image of water

cluster; **e** Z-projection image of the D-FF 2 coils with 42H<sub>2</sub>O embedded water cluster; **f** Y-projection image of the D-FF 2 coils with 42H<sub>2</sub>O embedded water cluster (D shows the total dipole momentum); **g** Y-projection image of the 42H<sub>2</sub>O water cluster extracted from D-FF PNT after optimization (D shows the total dipole momentum for water cluster) [43].

their optimization in D-FF and L-FF chirality cases (Fig. 5 and Fig. 6).

The initial water cluster with 21 H<sub>2</sub>O molecules constructed on the basis of a *1h* hexagonal ice structure, in general, had a correct symmetrical organization and a small dipole moment directed on average perpendicular to the axis of the nanotube (see Tables 3 and 4). After optimization of this cluster embedded in the cavity of both PNT, its structure has changed. Moreover, this happened in different ways, depending on the type of the surrounding PNT structure. In both the cases (L and D), a distortion of the structure occurs with the formation of a strong dipole moment oriented along the PNT axis.

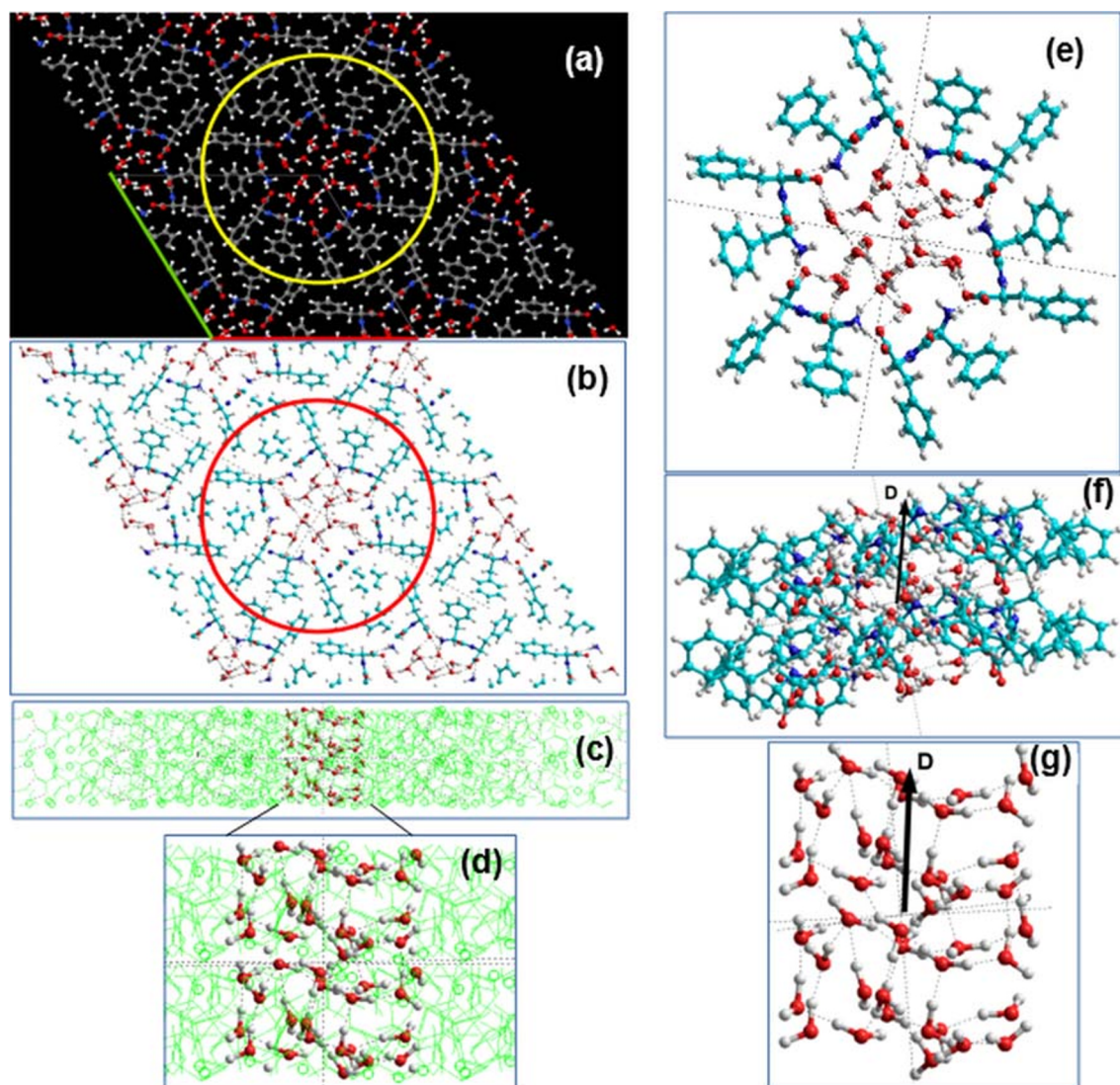
For a more detailed analysis, we transformed the optimized structures obtained from VASP into HyperChem workspace

and distinguished between the models of the structures of both D-FF and L-FF PNTs themselves (consisting from two helix coils) and models of nanotubes containing 42 H<sub>2</sub>O molecules each. These models correspond to 2 layers extracted from the VASP structures with a super-cell of their 4 initial crystal unit cells in plane (and  $8 = 2 \times 2 \times 2$  unit cells total) for each D-FF and L-FF structures. Figures 5 and Fig. 6 schematically show the procedures for such transformations.

Then, we identified the structures of extracted water clusters themselves from the structures of nanotubes in the HyperChem workspace. This is also shown in Fig. 5 and Fig. 6.

Figure 7 shows 42 H<sub>2</sub>O water clusters, extracted from 2-coil PNTs (initial and optimized in the D-FF and L-FF PNT).





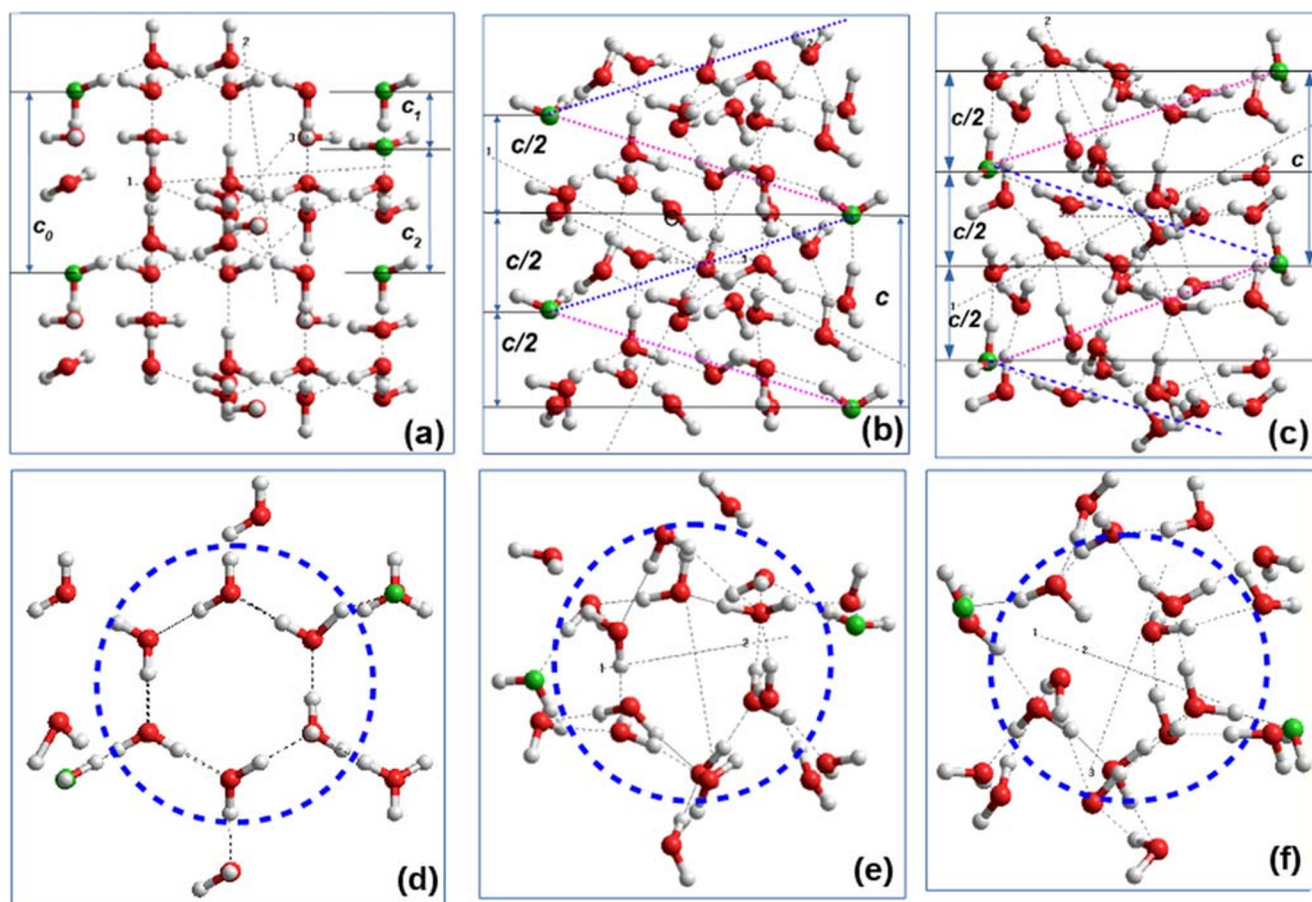
**Fig. 6** Schematics of the L-FF PNT structures with optimized 21H<sub>2</sub>O per unit cell embedded water cluster (the designations used are the same as in Fig. 4 above): **a** Z-projection image from VASP computed data with 4 unit cell; **b** the same image converted into HyperChem workspace; **c** side Y-projection and cross-section of L-FF structures with selected water molecules formed initial water cluster; **d** inset with biggest image of water

cluster; **e** Z-projection image of the L-FF 2 coils with 42H<sub>2</sub>O embedded water cluster; **f** Y-projection image of the L-FF 2 coils with 42H<sub>2</sub>O embedded water cluster (D shows the total dipole momentum); **g** Y-projection image of the 42H<sub>2</sub>O water cluster extracted from L-FF PNT after optimization (D shows the total dipole momentum for water cluster) [43]

**Table 2** Parameters of inner hydrophilic cavity of L-FF and D-FF PNTs

| Parameter | L-FF            |                 | D-FF           |                 |
|-----------|-----------------|-----------------|----------------|-----------------|
|           | Initial         | Opt (no water)  | Initial        | Opt (no water)  |
| $a$ , Å   | 24.0709         | 23.8308(284)    | 23.9468        | 23.7877(806)    |
| $b$ , Å   | 24.0709         | 23.8308(284)    | 23.9468        | 23.7877(806)    |
| $c$ , Å   | 5.4560          | 5.4035(861)     | 5.4411         | 5.4022(7125)    |
| $R_0$ , Å | 12.236          | 12.091          | 12.102         | 12.075          |
| $R_1$ , Å | 15.271(698)     | 15.042(076)     | 15.180(569)    | 15.030(688)     |
| $R_2$ , Å | 12.218(349)     | 12.098(817)     | 12.135(396)    | 12.075(906)     |
| Etot, eV  | − 1593.31826706 | − 1657.64346817 | − 1608.7356382 | − 1657.60024131 |





**Fig. 7** Extracted 42H<sub>2</sub>O water clusters in Y-projection (**a**, **b**, **c**) and Z-projection (**d**, **e**, **f**): **a** and **d** for initial water cluster based on Ih structure; **b** and **e** after optimization in D-FF PNT; **c** and **f** after optimization in L-FF PNT. Dashed lines (with two colors) on **b** and **c** show different direction of the helix-like structure formation in D-FF PNT cavity and L-FF PNT

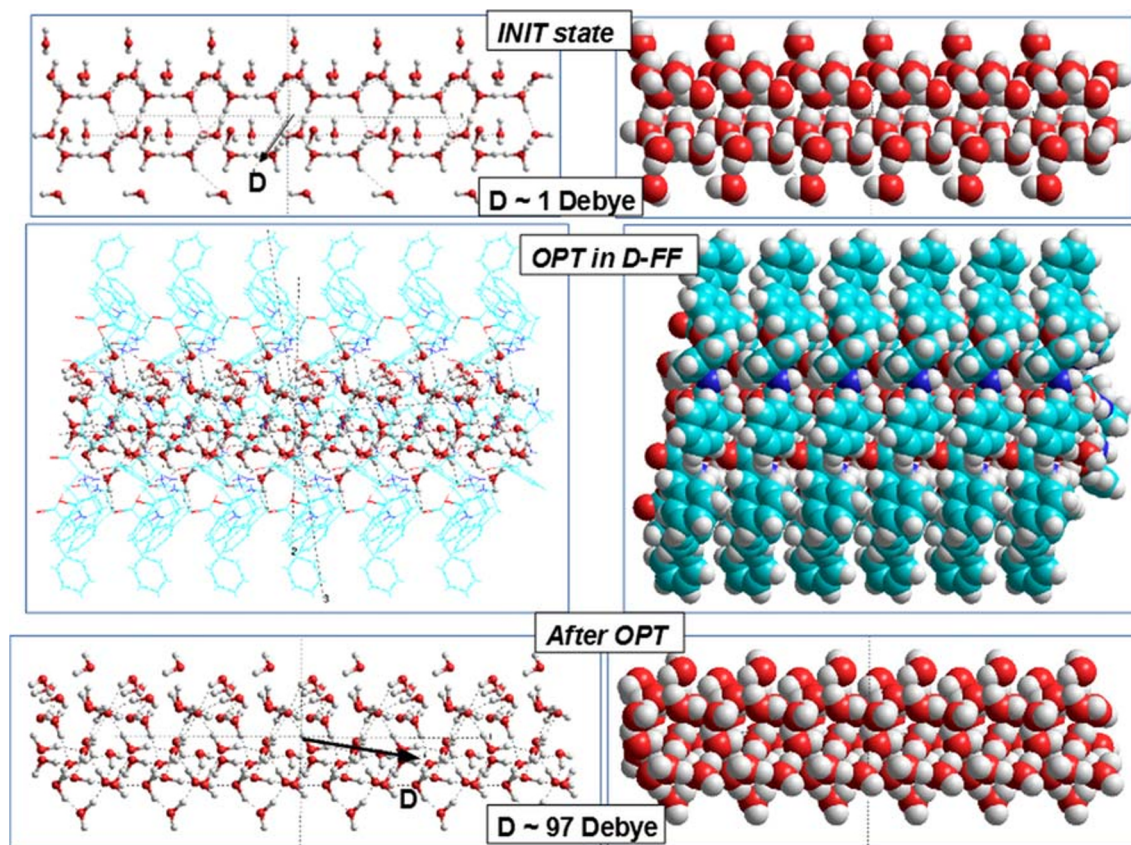
cavity cases. Dashed circles on **d**, **e**, and **f** show difference between inner and outer water molecules groups. Parameters of periodical water clusters structures: **a**  $c_0 = 5.434$  Å,  $c_1 = 1.670$  Å,  $c_2 = 3.764$  Å; **b**  $c = 5.441$  Å; **c**  $c = 5.456$  Å [43]

The main feature is that the shift of the individual water molecules is different for D-FF and L-FF PNT—they acquired a helix-like structure with a helix pitch equal to the period of the corresponding D-FF and L-FF PNT helix (that is equal to the period of their periodical crystal structure parameter  $c$ , see in Table 1). Figure 7 b and c, schematically shows various helix-like structures (in Y-projection) in comparison with the initial water cluster structure (Fig. 7a). Figure 7 d, e, and f shows a separation of the molecular groups of the water cluster into their internal (or inner) and external (or outer) sub-groups. The outer molecules interact actively with FF atoms on the inner hydrophilic PNT cavity surface, namely with nitrogen and oxygen atoms due to hydrogen bonds [43]. These results are also confirmed by Visual-Differential analysis [26], which are to be published in a separate article.

### Polarization details

Let us now consider in greater detail the polar properties of both nanotubes with integrated water clusters and the water

clusters themselves. In order to carry out calculations of polar properties, the calculation data obtained in VASP were converted into HyperChem medium workspace. This procedure was performed using the OpenBabel, Cyberduck, and HyperChem software [34]. Using these software, the optimized structures were transformed from periodical crystal D-FF and L-FF structures in VASP to HyperChem workspace as one, two, and more D-FF and L-FF coils helix molecular structures, where all further calculations were carried out. Furthermore, the optimized water cluster structures placed in D-FF and L-FF cavity was obtained too and was cut out from cavity to investigate independently. Schematic of main steps of these procedures was presented in Fig. 5 e, f, and g and Fig. 6 e, f, and g. For each structure investigated (initial D-FF and L-FF without water molecules inside, initial D-FF and L-FF with 21 water molecules per a unit cell, and finally optimized ones), quantum-chemical calculations were carried out using semi-empirical quantum methods [48, 49]: AM1, RM1, and PM3 in the restricted Hartree-Fock (RHF) approximation. The data obtained (energies, dipole momentum, polarizations) and



**Fig. 8** Images of the water/ice tubular nanostructures and D-FF PNT with water inside cavity from 6 repeated coils along c-axis (in X-projection): **a** initial tubular water/ice structure; **b** D-FF PNT with embedded water/ice structures under optimization process; **c** water/ice tubular helix structure

after optimization inside D-FF PNT; **d**, **e**, and **f** the same in the VdW surface presentation Vector **D** shows the direction of the total dipole momentum in the initial and optimized water cluster

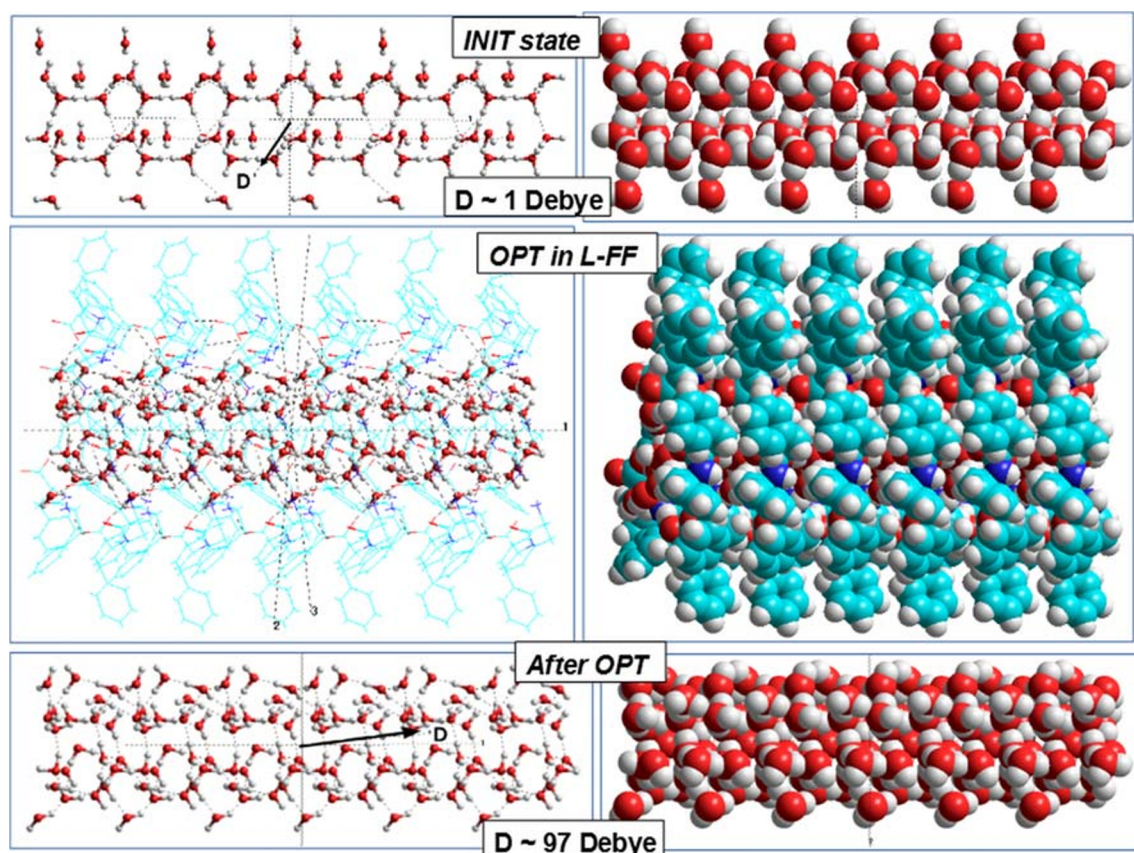
the volumes of all molecular structures were presented in Table 3 and Table 4.

Accurate calculations are possible with HyperChem by using methods that neglect some, but not all, of the electron-electron interactions. These methods are called Neglect of Differential Overlap or NDO methods. These methods were further improved and developed by J. J. P. Stewart and co-workers [50–57]; they are based on the so-called neglect of diatomic differential overlap (NDDO) approximation, with several modifications and with the choice of a wide number of parameters enable one to reproduce experimental quantities. NDDO retains all one-center differential overlap terms when Coulomb and exchange integrals are computed. The NDDO approximation is the basis for the Modified Neglect of Diatomic Overlap (MNDO), AM1, and PM3 methods. AM1 (Austin Model 1) is a modified MNDO method proposed and developed by M. J. S. Dewar and co-workers at the University of Texas at Austin [50–52]. AM1 is generally the most accurate computational method and is often the best method for collecting quantitative information [50–52]. PM3 differs from AM1 only in the values of the parameters [53–55]. The parameters for PM3 were obtained by comparing a large number of

experiments with calculation results. As a rule, non-covalent interactions in the PM3 method are less repulsive than in AM1. RM1 is other semi-empirical method which was parameterized to calculate dipole moments and enthalpies of formation, with errors smaller than those for AM1 and PM3 [56, 57]. Energetic properties of organic compounds can be calculated in both forms: isolated, and in solvent medium. All methods are available and provide close data for the biomolecular systems studied in this article based on C, O, N, and H atoms.

The main results obtained: (1) after optimization, the embedded water molecular cluster has a big own dipole moment strongly oriented along D-FF and L-FF PNT channel, while before it did not have such a defined orientation and a very small dipole moment (Figs. 5, 6 f and g, 8, and 9, and Tables 3 and 4); (2) the structure organization of both these water clusters after optimization inside D-FF and L-FF cavity has changed greatly—both have acquired helix properties, with the same helix step as D-FF and L-FF PNT (Fig. 7); and (3) the total dipole moment and polarization of both D-FF and L-FF PNT after optimization with embedded 21 H<sub>2</sub>O water/ice clusters enhance in the direction of main c-axis of each PNTs (Tables 3, 4, and 5, Figs. 8 and 9).





**Fig. 9** Images of the water/ice tubular nanostructures and L-FF PNT with water inside cavity from 6 repeated coils along c-axis (in X-projection): **a** initial tubular water/ice structure; **b** L-FF PNT with embedded water/ice structures under optimization process; **c** water/ice tubular helix structure

after optimization inside L-FF PNT; **d**, **e**, and **f** the same in the VdW surface presentation vector **D** shows the direction of the total dipole momentum in the initial and optimized water cluster

**Table 3** Data of dipole moment and polarization of D-FF PNT and water clusters, computed using AM1 RHF method (HyperChem). Similar and close data are obtained by PM3 and RM1 methods

| Data                           |                   | D-FF 2 Coils             |                                  | Water/ice cluster 21 H <sub>2</sub> O in D-FF cavity |  | D-FF + 21 H <sub>2</sub> O after optimization |
|--------------------------------|-------------------|--------------------------|----------------------------------|--|--|---|
|                                |                   | Without H <sub>2</sub> O | With initial 21 H <sub>2</sub> O | Initial 21 H <sub>2</sub> O                          | 21 H <sub>2</sub> O after optimization |   |
| Dipole, Debye                  | Dt                | 140.385                  | 139.520                          | 1.104  | 29.404                                 | 158.461                                       |
|                                | Dz                | −140.349                 | −139.447                         | −0.876   | −28.385                                | −158.441                                      |
| Polarization, C/m <sup>2</sup> | VdW volume        | Pt                       | 0.1399274                        | 0.1194850  | 0.005685                               | 0.150753                                      |
|                                |                   | Pz                       | −0.1398915                       | −0.1194226   | −0.004511                              | −0.1455383                                    |
|                                | Solvent volume    | Pt                       | 0.0590361                        | 0.0540235  | 0.001695                               | 0.0510458                                     |
|                                |                   | Pz                       | −0.05902138                      | −0.0539953   | −0.001345                              | −0.049277                                     |
| Energy                         | Et, a.u.          | −1739.52560              | −2271.06543                      | −534.5776  | −537.78035                             | −2277.23035                                   |
|                                | ΔEt, eV           |                          |                                  |  | −87.151311                             | −167.7561                                     |
|                                | Eb, eV            | −2265.27936              | −2495.46785                      | −312.84856   | −399.99734                             | −2663.21967                                   |
|                                | ΔEb, eV           |                          |                                  |  | −87.14878                              | −167.75182                                    |
| Volume*) VdW                   | V, Å <sup>3</sup> | 3346.47                  | 3894.86                          | 647.80   | 650.55                                 | 3967.61                                       |
| Volume**) solvent for 1.4 Å    | V, Å <sup>3</sup> | 7931.75                  | 8614.35                          | 2172.99  | 1921.33                                | 8596.16                                       |

\*) This Van der Waals (VdW) volume is calculated by the VdW surface area (grid) calculation

\*\*) This volume is calculated by solvent-accessible surface area calculations, specifies the effective radius of the solvent molecule (in Ångstroms) Generally, (and in this work too) a value of 1.4 Å is used for water as a solvent



**Table 4** Data of dipole moment and polarization of L-FF PNT and water clusters, computed using AM1 RHF method (HyperChem). Similar and close data are obtained by PM3 and RM1 methods

| Data AM1 RHF                    |                   | L-FF 2 Coils             |                                  | 21 H <sub>2</sub> O in L-FF cavity |  | L-FF + 21 H <sub>2</sub> O after optimization |
|---------------------------------|-------------------|--------------------------|----------------------------------|------------------------------------|--|---|
|                                 |                   | Without H <sub>2</sub> O | With initial 21 H <sub>2</sub> O | Initial 21 H <sub>2</sub> O        | 21 H <sub>2</sub> O after optimization |   |
| Dipole, Debye                   | Dt                | 140.757                  | 133.110                          | 1.104                              | 28.646                                 | 157.8331                                      |
|                                 | Dz                | − 140.217                | − 130.279                        | − 0.876                            | − 28.386                               | − 157.035                                     |
| Polariza-tion, C/m <sup>2</sup> | VdW volume        | Pt                       | 0.139501                         | 0.113128                           | 0.005685                               | 0.148244                                      |
|                                 |                   | Pz                       | − 0.138966                       | − 0.110722                         | − 0.004511                             | − 0.146898                                    |
|                                 | Solvent volume    | Pt                       | 0.0590361                        | 0.0496268                          | 0.001695                               | 0.0494868                                     |
|                                 |                   | Pz                       | − 0.05902138                     | − 0.0481101                        | − 0.0013447                            | − 0.049038                                    |
| Energy                          | Et, a.u.          | − 1739.02739             | − 2272.76298                     | − 534.5776                         | − 537.68028                            | − 2278.81422                                  |
|                                 | ΔEt, eV           |                          |                                  |                                    | − 84.42816                             | − 164.66275                                   |
|                                 | Eb, eV            | − 2251.72290             | − 2541.65947                     | − 312.84856                        | − 397.27426                            | − 2706.3170                                   |
|                                 | ΔEb, eV           |                          |                                  |                                    | − 84.42570                             | − 164.6573                                    |
| Volume*) VdW                    | V, Å <sup>3</sup> | 3365.60                  | 3924.73                          | 647.80                             | 644.55                                 | 3972.63                                       |
| Volume**) solvent for 1.4 Å     | V, Å <sup>3</sup> | 7931.75                  | 8673.83                          | 2172.99                            | 1930.83                                | 8668.04                                       |

\*) This Van der Waals (VdW) volume is calculated by the VdW surface area (grid) calculation

\*\*) This volume is calculated by solvent-accessible surface area calculations, specifies the effective radius of the solvent molecule (in Ångstroms) Generally, (and in this work too) a value of 1.4 Å is used for water as a solvent

Important feature is that after optimization inside D-FF and L-FF PNT cavity, the water/ice clusters acquired different directions of rotation of a helix. This property of helix lines is called chirality: “right chirality” (D from the Latin “dextra”) and “left chirality” (L from the Latin “laeva”). Usually, such a

pair of mirror-symmetrical helix lines are called enantiomorphs [21, 25, 58].

This last result (showing on Figs. 7, 8, and 9), concerned with very pronounced realignment of water cluster structure, is confirmed by some other important computed data. The

**Table 5** Dipole moments and polarization for water/ice cluster after optimization in D-FF and L-FF PNT, consisting from 126 H<sub>2</sub>O molecules (see on Fig. 8 and Fig. 9), computed by various methods

| 126 H <sub>2</sub> O water/ice cluster after optimization |                           | Method used (in RHF) |          |          |           |          |          |
|---|---------------------------|----------------------|----------|----------|-----------|----------|----------|
|   |                           | From D-FF            |          |          | From L-FF |          |          |
|   |                           | AM1                  | PM3      | RM1      | AM1       | PM3      | RM1      |
| Dipole moment, Debye                                      | Dt                        | 95.920               | 97.355   | 100.575  | 94.982    | 97.226   | 99.533   |
|   | Dz                        | − 93.496             | − 95.058 | − 98.130 | − 94.653  | − 96.787 | − 99.154 |
|   | Dy                        | − 15.324             | − 14.816 | − 15.481 | 7.304     | 8.528    | 8.068    |
|   | Dx                        | 14.978               | 14.912   | 15.695   | 2.991     | 3.521    | 3.188    |
| Polariza-tion, C/m <sup>2</sup>                           | VdW V, Å <sup>3</sup>     |                      | 1927.21  |          | 1910.28   |          |          |
|   |                           |                      |          |          |           |          |          |
|   | Volume VdW                | Pt                   | 0.1660   | 0.1685   | 0.1741    | 0.1655   | 0.1698   |
|   |                           | Pz                   | − 0.1618 | − 0.1645 | − 0.1699  | − 0.1653 | − 0.1690 |
|   |                           | Py                   | − 0.0265 | − 0.0256 | − 0.0265  | 0.0128   | 0.0149   |
|   |                           | Px                   | 0.0259   | 0.0258   | 0.0259    | 0.0052   | 0.0061   |
|   | Solvent V, Å <sup>3</sup> |                      | 5122.45  |          | 5091.22   |          |          |
|   |                           |                      |          |          |           |          |          |
|   | Volume solvent            | Pt                   | 0.0625   | 0.0634   | 0.0625    | 0.0622   | 0.0637   |
|   |                           | Pz                   | − 0.0609 | − 0.0619 | − 0.0609  | − 0.0620 | − 0.0634 |
|   |                           | Py                   | − 0.0099 | − 0.0096 | − 0.0100  | 0.0048   | 0.0059   |
|   |                           | Px                   | 0.0098   | 0.0097   | 0.0102    | 0.0020   | 0.0023   |

energy changes show that the main energy shift is concerned with changes of the VdW and HB energies of water molecule structures. It is clearly seen from changes in the extracted water molecules clusters obtained after optimization (Tables 3 and 4, columns 5 and 6). Additionally, changes in the energy result from the formation of new hydrogen bonds of water molecules with inner cavity of D-FF and L-FF (namely with N and O atoms). This result is clearly seen from changes of the binding energy  $E_b$  and total energy  $E_t$  data for water cluster only in their comparison with energies for D-FF and L-FF PNT with water (Tables 3 and 4, columns 4 and 7). In this case, energy changes approximately (both  $E_t$  and  $E_b$ ) from  $-167.75$  to  $-87.15$  eV (for D-FF case) and from  $-164.65$  to  $-84.43$  eV (for L-FF case) are twice higher than for a water cluster only. This means that formation of bonds with the inner surface of a nanotube takes twice as much energy as merely the rearrangement of bonds inside the water cluster.

It is interesting to note that water molecules embedded into a carbon nanotube under the influence of high pressure and temperature lead to a formation of a similar helix structure [59]. It is known that the water confined to nanopores is investigated not only in carbon nanotubes, but in other nanoporous structures, for example, in boron nitride nanotubes (BNNTs) using first-principles calculations [60]. Another study of the polar property, polarization, and even the ferroelectricity in the ice-type (or water-type) nanostructures has recently been performed in nanoporous silicate materials, which have an ordered system of narrow cylindrical pores [61]. It is assumed that in such filamentous pores of the studied materials, ferroelectric ice XI is formed.

We do not yet insist directly on the occurrence of ferroelectricity of water and ice structures inside peptide nanotubes, since reliable phase transitions between the polar (ferroelectric) and nonpolar (paraelectric) phases, which have pronounced changes in the dielectric constant according to the well-known Curie-Weiss law [15], have not yet been detected. Meanwhile, ferroelectricity was observed in [62], though in other  $\beta$ -sheet FF PNT structure [13, 20], not in  $\alpha$ -helix, as is studied now [21–25]. Besides, water was not known to occur in the internal cavity of such PNT. This is to be clarified in the future and possible results will be achieved with more detailed dielectric measurements [43], but, otherwise, the presence of such a significant and strictly oriented polarization clearly indicates the possibility of the existence of the ferroelectric-like phenomena here.

Returning to the first important result, it should be noted that the extracted water clusters have helix nanotube structure similar D-FF and L-FF PNT, (but having an inverse chirality sign) and high of dipole moments and corresponding polarizations value directed strongly along nanotube OZ axis: dipole moment of water/ice cluster increases from  $\sim 1$  Debye up to  $\sim 29$  Debye (see Tables 3 and 4). The dipole moment of the whole D-FF and L-FF nanotube with optimized water/ice

cluster also increases: it grows from  $\sim 139.5$  Debye up to  $\sim 158.5$  Debye (Tables 3 and 4). It is known from our previous studies [21–25] that empty D-FF and L-FF PNT have high own dipole moment ( $\sim 138$ – $140$  Debye) and polarization, determined also by quantum AM1 and PM3 calculations for these structures without water molecules. Similar data are listed in in Tables 3 and 4. A water nanotube acquires such properties under the influence of D-FF and L-FF PNT—it is self-consistent and self-organized process modulated and induced by an electric field from the strongly oriented dipole moments of D-FF and L-FF and their helix structures.

Moreover, if we build longer models of optimized water ice clusters, we get extended needle-shaped strongly polarized ferroelectric-like elements which may have promising potential applications in various fields. As an example, Table 5 shows the results of calculations for more extended water clusters having even higher values of dipole moments and polarization. At the same time, here we presented the calculation data using different methods and we see that RM1 gives the highest values of the dipole moments (up  $\sim 100$  Debye along PNT  $c$ -axis) and polarization (up  $\sim 0.174$  C/m<sup>2</sup>). An important feature is that the value of the perpendicular components  $D_y$  and  $P_y$  has different signs for D-FF and L-FF, corresponding to various orientations of the polar vector in accordance with chirality of PNT.

Polarization of D-FF and L-FF structures with water molecules in cavity is also increases, but their value depends additionally on the volume of the structure which has a dipole moment (in SI unit polarization is  $P = 3.33556255 \cdot D/V$  C/m<sup>2</sup>). It is noteworthy that in such specific molecular structures, the volume may be determined by various approaches: (1) using Van der Waals surface and (2) using solvent-accessible surface area calculations [34, 63]. Generally, a value of  $1.4 \text{ \AA}$  is used for water as a solvent (because the distances between H<sub>2</sub>O molecules in water are known to be  $\sim 2.76 \text{ \AA}$ ) [34, 64]. In this work, both the volume values were used for polarization calculation (Tables 3, 4, and 5). In principle, the most important is VdW volume for all our cases.

In any case, the polarization obtained, for example, for empty D-FF PNT, has minimum values  $P \sim 0.06$ – $0.14$  C/m<sup>2</sup> (see Table 3, depending of volume used) and corresponding electric field  $E = P/(\epsilon \cdot \epsilon_0) \sim 1.7$ – $4.0$  GV/m (for  $\epsilon = 4$  [14, 20] and for  $\epsilon_0$ —dielectric vacuum constant). Such a strong electric field directed along PNT  $c$ -axis inside a cavity induces orientation of each water molecules dipole along this direction (Figs. 5 and 6 f and g, 8, and 9).

In this connection, mention should be made of the work [65], in which water molecules in a carbon nanotube were studied under an applied electric field. It was revealed that under an applied electric field of  $1 \text{ V/nm} = 1 \text{ GV/m}$ , water forms a solid-like structure at all simulation temperatures up to  $350 \text{ K}$ . The authors of [65] suggest that the electric field induces a phase transition from liquid to ice-nanotube, at

temperatures as high as 350 K, and the electrostatic interaction within the ice-nanotube under an electric field is stronger than that in the absence of an electric field.

Another important point is that after such a treatment of the water cluster inside D-FF and L-FF PNT, this water cluster per se acquires strongly oriented polar properties as a nanosized ferroelectric nanotube. Some manifestations of ferroelectric properties in water structures are known, especially in their nanostructured form in various nanotube types, and under different influences [59–61, 65, 66].

In addition, it should be noted that the straightforward study of ferroelectric phenomena in water and ice tubular and one-dimensionally ordered nanostructures is actively carried out by some research teams. For example, in [66], novel ferroelectric properties of a new form of ice inside single-walled carbon nanotubes were investigated by molecular dynamics simulation. The authors called them “ice nanotubes” (ice NTs) and found them to consist of polygonal water rings stacked one-dimensionally along the nanotube axis. In [66], ice NTs were revealed to show stepwise polarization with a significant hysteresis loop as a function of the external field strength. In particular, pentagonal and heptagonal ice NTs are found to be the world’s smallest ferroelectrics with spontaneous polarization of around  $1 \mu\text{C}/\text{cm}^2 \sim 0.01 \text{ C}/\text{m}^2$ . This value is on the same order as in our case, but even slightly less—we have, e.g., a polarization value of  $\sim 0.062\text{--}0.133 \text{ C}/\text{m}^2$  for D-FF PNT with water/ice cluster and just greater up to  $\sim 0.05\text{--}0.15 \text{ C}/\text{m}^2$  in the case of a such ice nanotube alone (and higher for long extended clusters, see in Table 5).

It is significant that the components of both dipole moments and polarization differ here in the direction perpendicular to the main axis of the nanotubes for cases of different chirality. That is, they have different signs for the components  $D_y$ ,  $D_z$  for L-FF PNT and D-FF PNT (see also the orientation of the total dipole moment  $D$  shown in Fig. 8 and Fig. 9). This exactly corresponds to the formation of the induced (or modulated) helix structure of water clusters of different types of chirality as well. As we showed earlier [21, 24, 25], L-FF molecules form a right-handed helix, and D-FF molecules form a left-handed helix.

According to [66], all these findings show potential applications of nanotube encapsulating dielectric materials for the fabrication of the smallest ferroelectric devices. In turn, we assume that such and similar polar systems based on the tubular ice nanostructures, formed inside peptide nanotubes, have a great future for a variety of applications in many nanodevices.

As is known, ferroelectric properties, in addition to polarization, also represent such a property as piezoelectricity, which has numerous practical applications [15–28]. Recently, it has been shown in [14] that not only FF PNT but also other AA PNT have rather high polarization values and, as a consequence, piezoelectric coefficients. Thus, the

results obtained above not only mean that the presence of water/ice structures inside any AA PNT increases and strengthens their polar properties but also have very significant potential opportunities for the development of new types of nanostructures with enhanced piezoelectric properties.

## Conclusions

The results obtained allow us to conclude that, on average, after optimizing the water/ice cluster enclosed in the inner hydrophilic cavity of the nanotubes, they acquire strongly anisotropic electrical properties, with significant magnitudes with dipole moments oriented mainly along the axis of the nanotubes. In this case, the average polarization values reach  $0.16\text{--}0.17 \text{ C}/\text{m}^2$  calculated by any methods (the highest values are given by RM1 method, Table 5) along VdW surface.

In conclusion, it must be stated that the main results obtained: (1) after optimization, the embedded water molecular clusters have significantly larger own dipole moments strongly oriented along D-FF and L-FF PNTs channel, while before it did not have such a defined orientation and had a very small dipole moments; (2) the structure organization of this water cluster has very significantly and high change—this water/ice cluster acquires helix properties, with the same helix step as D-FF PNT; and (3) the dipole moment and polarization of the initial empty D-FF and L-FF PNT increased and enhanced after optimization with the presence of the embedded water/ice cluster inside their cavity, particularly with found most optimal 21  $\text{H}_2\text{O}$  molecular cluster.

Such an obvious and drastic change modulated by the influence of D-FF properties and structural features was established here for the first time using direct modeling and calculations using DFT (VASP) and various semi-empirical quantum methods. Figures 8 and 9 show examples of these effects. This new discovery may lead in the future to the development and emergence of completely unexpected applications and may also serve for the further development of the possibly as yet not entirely clear nanotechnological, medical, and biological breakthroughs.

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